

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of: Makoto IWAI, Katsuhiro IMAI and Minoru IMAEDA

Ser. No.: 10/594,846

Art Unit: 1792

Filed: November 8, 2006

Examiner: Felisa Carla Hiteshew

Confirmation No.: 3664

For: GALLIUM NITRIDE SINGLE CRYSTAL GROWING METHOD AND  
GALLIUM NITRIDE SINGLE CRYSTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(c)**

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under §1.113,
  - (2) a notice of allowance under §1.311 or
  - (3) an Office Action that closes prosecution.

**STATEMENT OR SUBMISSION FEE**

2. Accompanying this transmittal is

A. ☒ a statement as specified in 37 CFR §1.97(e).

**OR**

B. ☐ the submission fee set forth in 37 CFR §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

Fee due: \$00.00

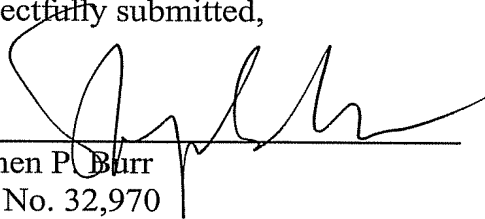
**METHOD OF PAYMENT OF FEE**

3. ☐ Attached is a check in the amount of \$\_\_\_\_\_.
- ☐ Charge Account No. 50-1446 in the amount of \$\_\_\_\_\_.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,

January 15, 2009

Date

  
\_\_\_\_\_  
Stephen P. Burr  
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**Practitioner's Docket No.:** 811\_107

**PATENT**

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**CERTIFICATION UNDER 37 CFR §1.97(e)**

Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

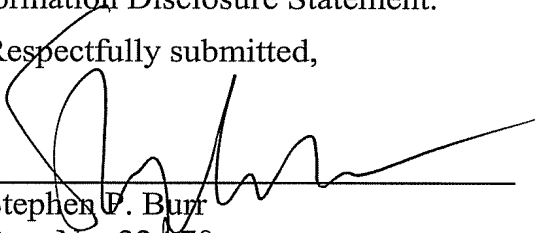
OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

January 15, 2009

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a European Supplemental Search Report bearing a mailing date of January 5, 2009 from a corresponding European patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

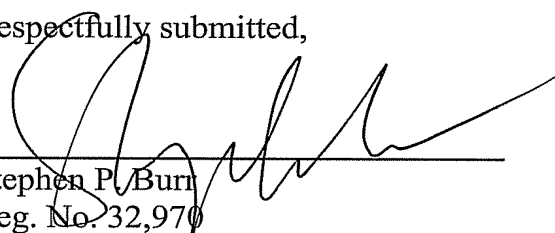
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

January 15, 2009

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